

NON-PARTICIPATING MANUFACTURER
CHECK LIST FOR COMPLETING CERTIFICATE OF COMPLIANCE FORM

Pursuant to ORC § 1346.07 (C), the attorney general may require a tobacco product manufacturer to submit any additional information necessary to enable the attorney general to determine whether a manufacturer is in compliance with Sections 1346.05 to 1346.10

- Only a current **Non-Participating Tobacco Product Manufacturer Certificate of Compliance** (*Form NPM001 Rev. 02/2010*) will be accepted.
- Parts 1 through 7 of the **Non-Participating Tobacco Product Manufacturer Certificate of** (*Form NPM001 Rev. 02/2010*) must be completed in its entirety;
- Brand families have been listed in Parts 2A, 2B, and/or 2C **and** product type (“Cigarettes” or “RYO”) are checked.
- Certificate of Compliance is signed by an authorized officer of the tobacco product manufacturer.
- Certificate of Compliance is notarized.
- For **each** brand family listed in Parts 2A and 2B of the certification, complete the **Brand Identification and Sales Information Form** (*Form NPM002 Rev. 02/2010*).

Required Additional Documentation

- Copy of the **current** U.S. Treasury Tobacco Tax Bureau (TTB) permit is enclosed;
- For each cigarette brand identified in Part 2A, a copy of the **current** Centers for Disease Control (CDC) letter, approving the ingredient listing for cigarettes is enclosed;
- For each cigarette brand identified in Part 2A, a copy of the **current** Federal Trade Commission approval letter, approving the health-warning rotation plan is enclosed;
- Financial institution has been instructed to send all items required in Part 5B **directly** to the address listed below.
- For each cigarette brand identified in Part 2A and 2B, current package samples that show the “FSC” marking. Flat empty cartons and packs are preferred;
- Copy of the Reduced Ignition Propensity Standards for Cigarettes Certification sent to the Ohio Fire Marshal is enclosed;
- For each cigarette brand identified in Part 2A and 2B, a copy of the **current** Reduced Ignition Propensity Standards for Cigarettes Certification is enclosed;

Send completed Certificate of Compliance and attachments to:

**Ohio Attorney General’s Office
Tobacco Enforcement Section – 245000
30 East Broad Street, 16th Floor
Columbus, Ohio 43215**

NOTE: Incomplete and/or illegible Certificate of Compliance forms and attachments will be returned.